

Determining the AMSR-E SST Footprint from Co-Located MODIS SSTs

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- 1 Motivation
- 2 The AMSR-E Footprint
- 3 Results
- 4 Deconvolution
- 5 Conclusions

The Problem

- A microwave sensor, *AMSR-E*, carried on the *Aqua* spacecraft sampled the global ocean twice daily from 2002 through 2011.
- Sea surface temperature (SST) is estimated from the *AMSR-E* measurements.
- The putative SST footprint was $56 \times 56 \text{ km}^2$ sampled every 10 km; i.e, oversampled

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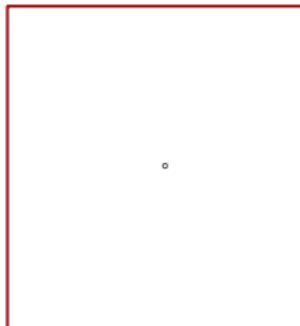
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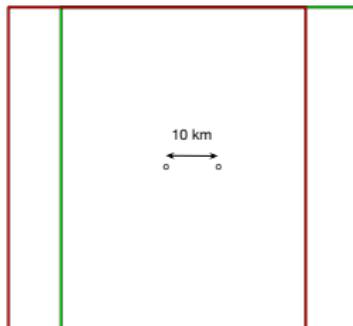
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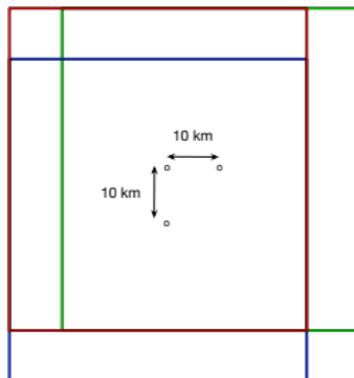
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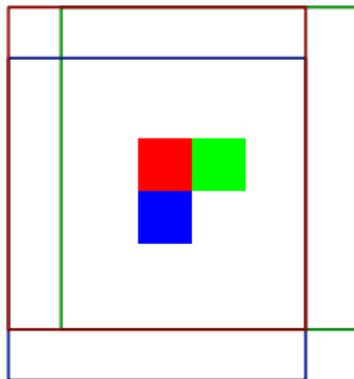
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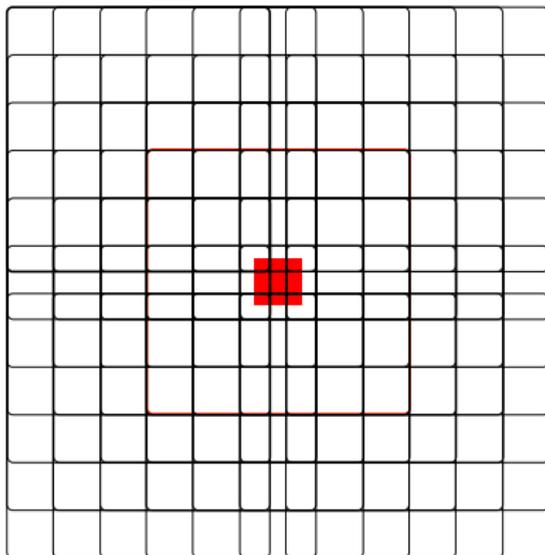
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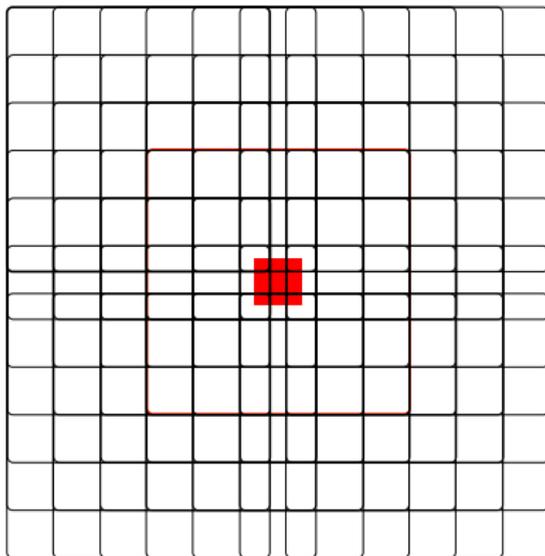
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Our objective was to deconvolve the AMSR-E field to obtain a true 10x10 km resolution SST field

An Initial Approach

- A straight deconvolution requires some seed values.
- We have coincident $1 \times 1 \text{ km}^2$ MODIS SSTs in cloud-free areas.
- So we used MODIS to seed the deconvolution
 - We selected a region with a large fraction of clear MODIS pixels
 - Averaged the pixels to the $10 \times 10 \text{ km}$ AMSR-E grid.
 - And inverted.
 - It didn't work so well! The resulting field was dominated by noise.
- We quickly determined there were two problems:
 - The solution was very sensitive to noise.
 - The putative AMSR-E footprint of $56 \times 56 \text{ km}$ was not correct.
- It was clear that we needed to:
 - Determine the AMSR-E footprint
 - Characterize the noise in the AMSR-E field.

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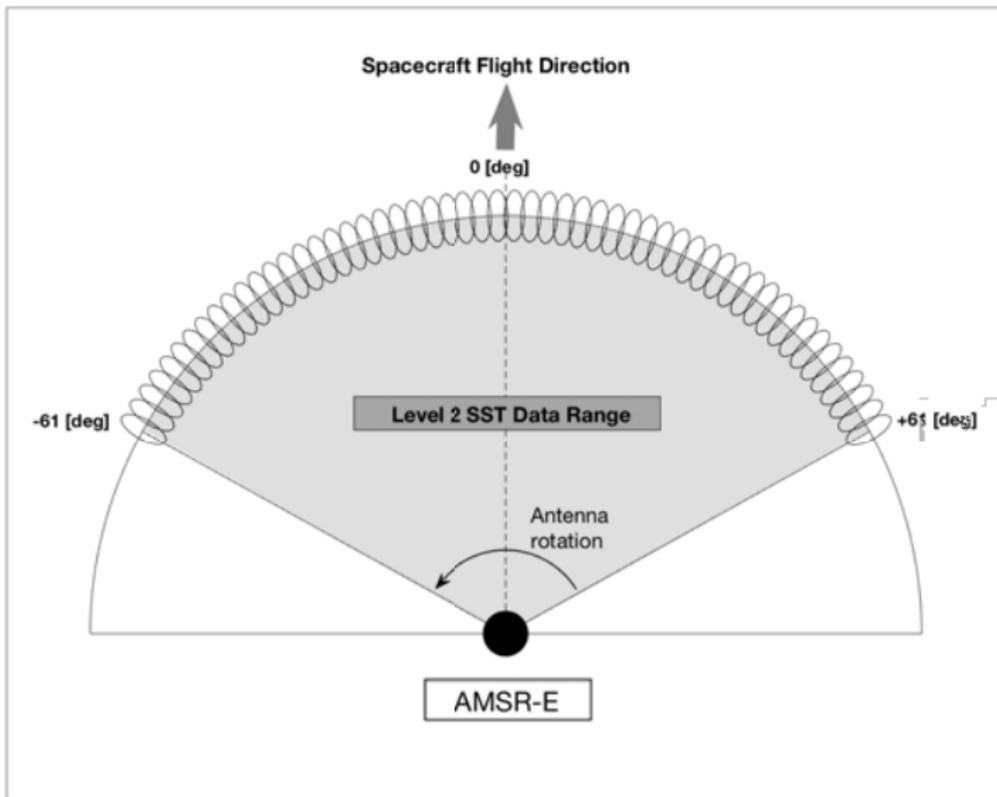
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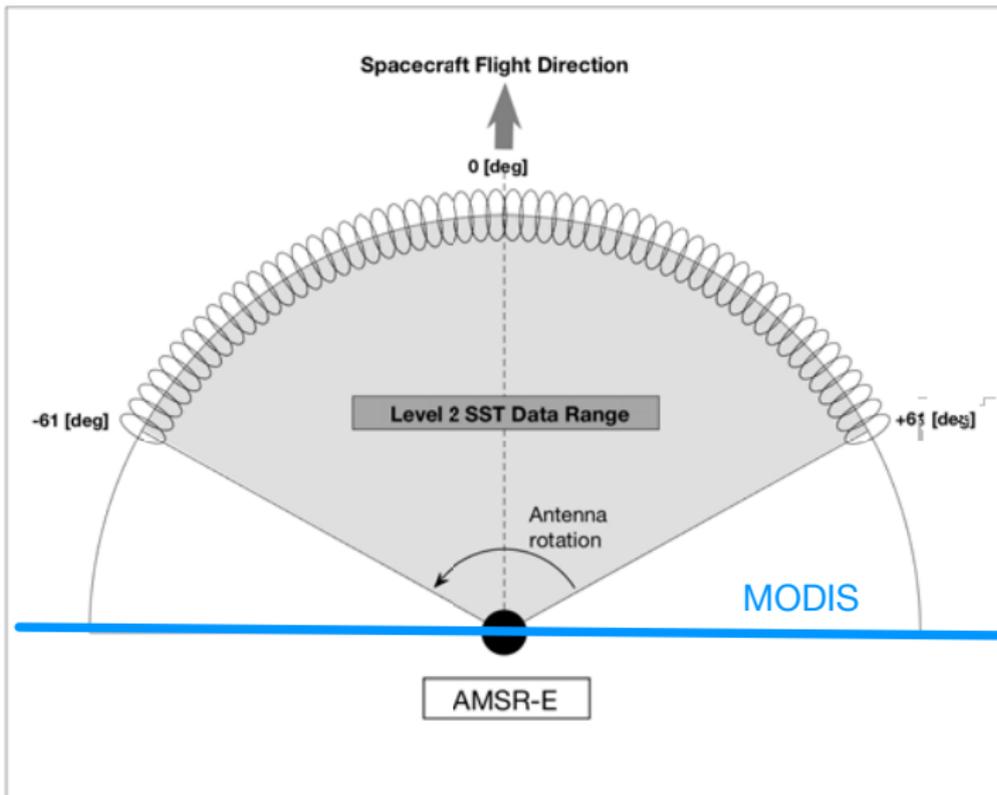
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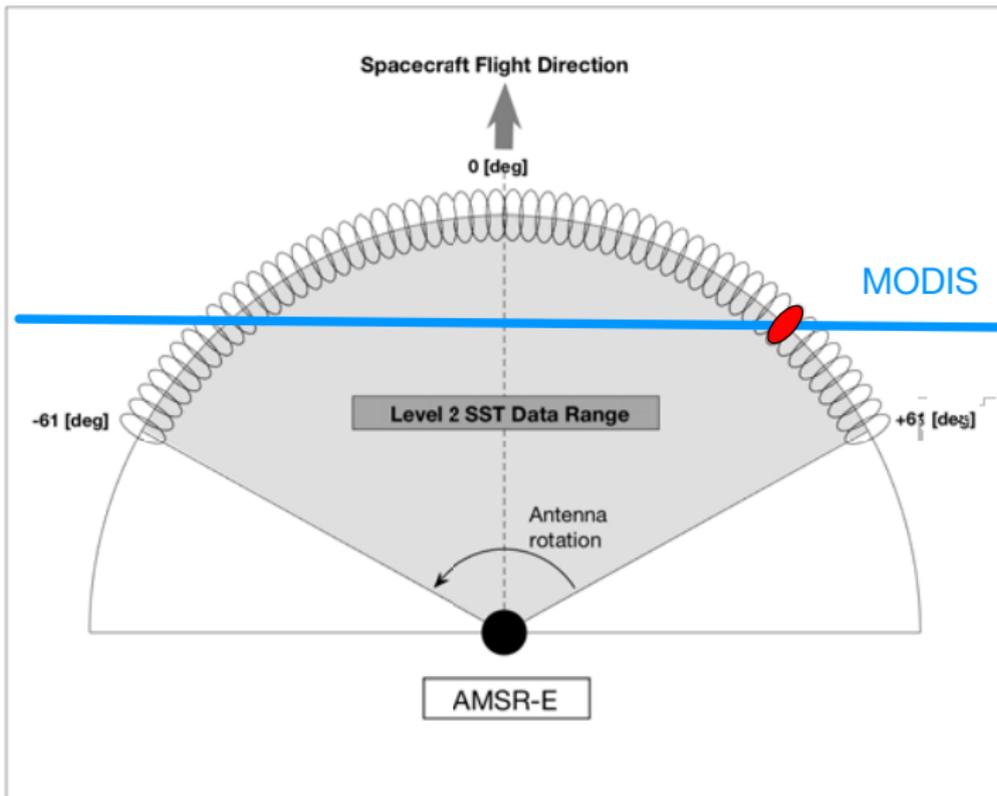
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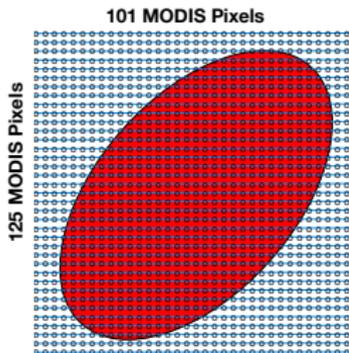
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 - Nighttime L2 AMSR-E SST pixel,
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 - centered on the AMSR-E pixel,
 - with the 125 element dimension is parallel to the nadir track.
 - with at least 90% of the MODIS pixels classified as clear.
 - We averaged the MODIS pixels into 4×4 pixel non-overlapping squares.
 - This resulted in a total of 775 (25×31) MODIS SST measurements/matchup
- $\approx 4,000,000$ globally distributed AMSR-E – MODIS matchups.

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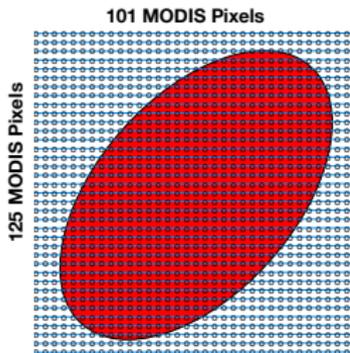
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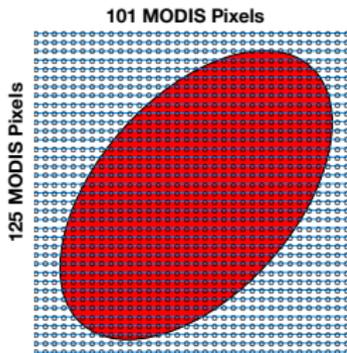
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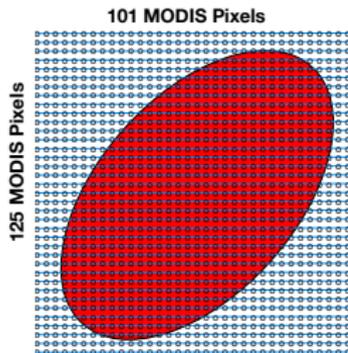
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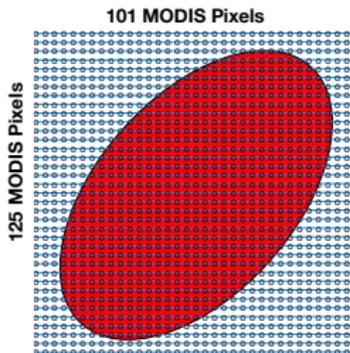
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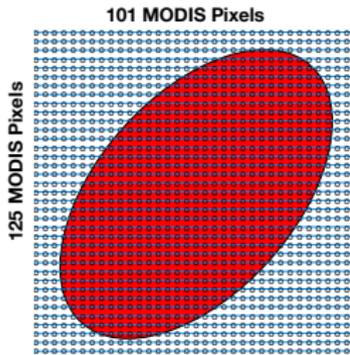
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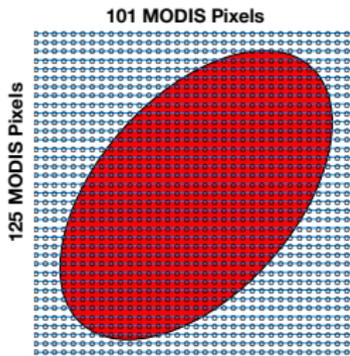
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- So the problem we want to solve is:

$$\begin{pmatrix} a_1 \\ a_2 \\ a_3 \\ a_4 \\ \vdots \\ a_{N-1} \\ a_N \end{pmatrix}_A = \begin{pmatrix} m_1^1 & m_2^1 & m_3^1 & \cdots & m_{775}^1 \\ m_1^2 & m_2^2 & m_3^2 & \cdots & m_{775}^2 \\ m_1^3 & m_2^3 & m_3^3 & \cdots & m_{775}^3 \\ m_1^4 & m_2^4 & m_3^4 & \cdots & m_{775}^4 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ m_1^{N-1} & m_2^{N-1} & m_3^{N-1} & \cdots & m_{775}^{N-1} \\ m_1^N & m_2^N & m_3^N & \cdots & m_{775}^N \end{pmatrix}_M \begin{pmatrix} h_1 \\ h_2 \\ h_3 \\ \vdots \\ h_{775} \end{pmatrix}_H + \begin{pmatrix} \epsilon_1 \\ \epsilon_2 \\ \epsilon_3 \\ \epsilon_4 \\ \vdots \\ \epsilon_{N-1} \\ \epsilon_N \end{pmatrix}_\epsilon$$

- Or more compactly: $A_{N \times 1} = M_{775 \times N} H_{775 \times 1} + \epsilon_{N \times 1}$

where A are the AMSR-E values,

M the MODIS values,

H the AMSR-E footprint vector containing the weighting elements and ϵ noise in the data.

- This is simply a regression relation between A and M .
- We want to determine the form of H , which minimizes

$$\arg \min_H \|A_{N \times 1} - M_{775 \times N} H_{775 \times 1}\|^2$$

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$$\begin{pmatrix} a_1 \\ a_2 \\ a_3 \\ a_4 \\ \vdots \\ a_{N-1} \\ a_N \end{pmatrix}_A = \begin{pmatrix} m_1^1 & m_2^1 & m_3^1 & \cdots & m_{775}^1 \\ m_1^2 & m_2^2 & m_3^2 & \cdots & m_{775}^2 \\ m_1^3 & m_2^3 & m_3^3 & \cdots & m_{775}^3 \\ m_1^4 & m_2^4 & m_3^4 & \cdots & m_{775}^4 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ m_1^{N-1} & m_2^{N-1} & m_3^{N-1} & \cdots & m_{775}^{N-1} \\ m_1^N & m_2^N & m_3^N & \cdots & m_{775}^N \end{pmatrix}_M \begin{pmatrix} h_1 \\ h_2 \\ h_3 \\ \vdots \\ h_{775} \end{pmatrix}_H + \begin{pmatrix} \epsilon_1 \\ \epsilon_2 \\ \epsilon_3 \\ \epsilon_4 \\ \vdots \\ \epsilon_{N-1} \\ \epsilon_N \end{pmatrix}_\epsilon$$

- Or more compactly: $A_{N \times 1} = M_{775 \times N} H_{775 \times 1} + \epsilon_{N \times 1}$

where A are the AMSR-E values,

M the MODIS values,

H the AMSR-E footprint vector containing the weighting elements and ϵ noise in the data.

- This is simply a regression relation between A and M .
- We want to determine the form of H , which minimizes

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Bag-it

- A straight inversion does not work well.
- Bagging (a.k.a. bootstrapping) is a way of dealing with this.
 - Sample N values with replacement from the pool of data.
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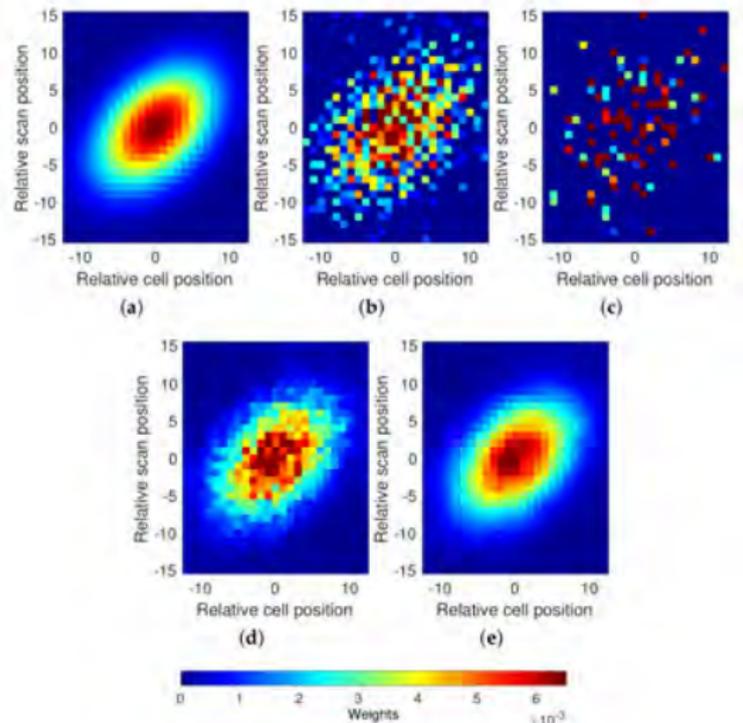
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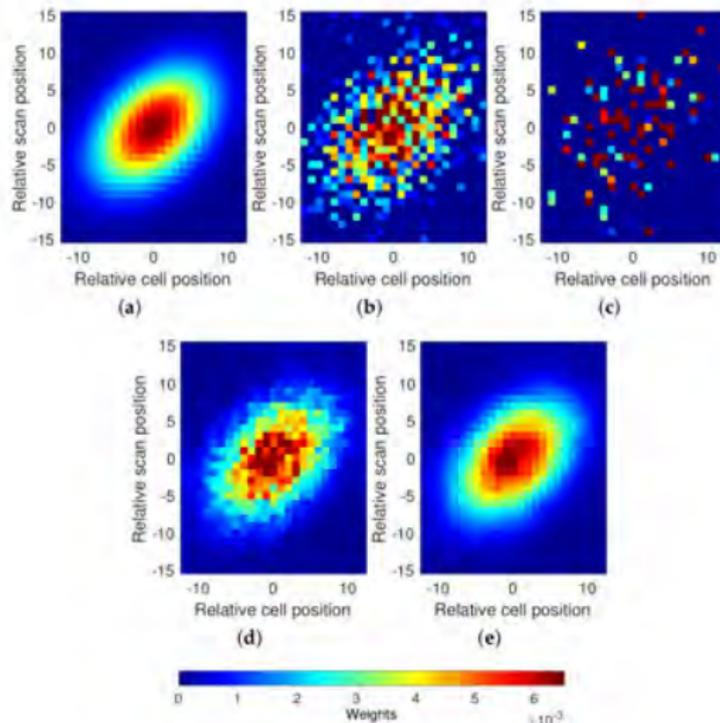
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Bagging – A Simulation



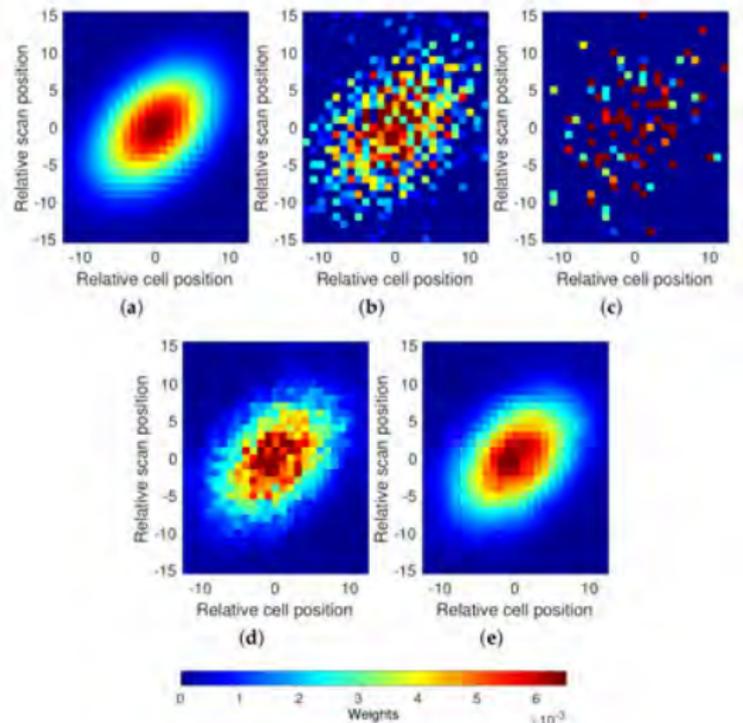
(a) Imposed footprint. Simulated 250,000 matchups with $0.2 K \sigma$ AMSR-E, $0.05 K \sigma$ MODIS.
 (b) Retrieved footprint (R; N) = (1; 250,000) (c) (1; 2,000) (d) (2,000; 2,000)
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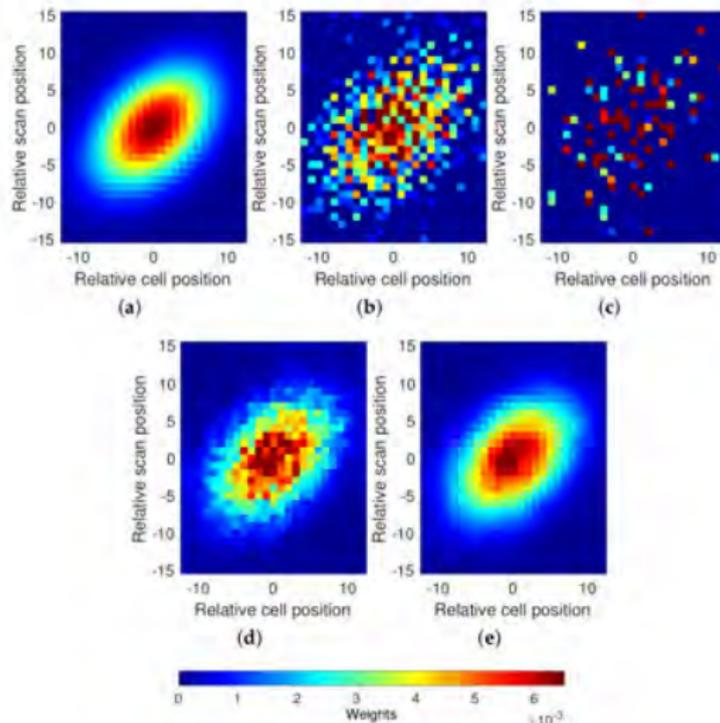
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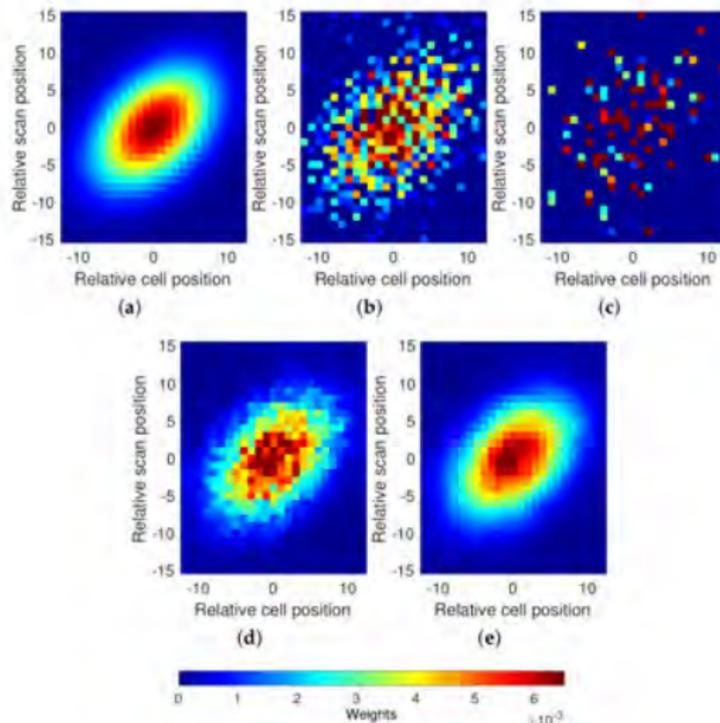
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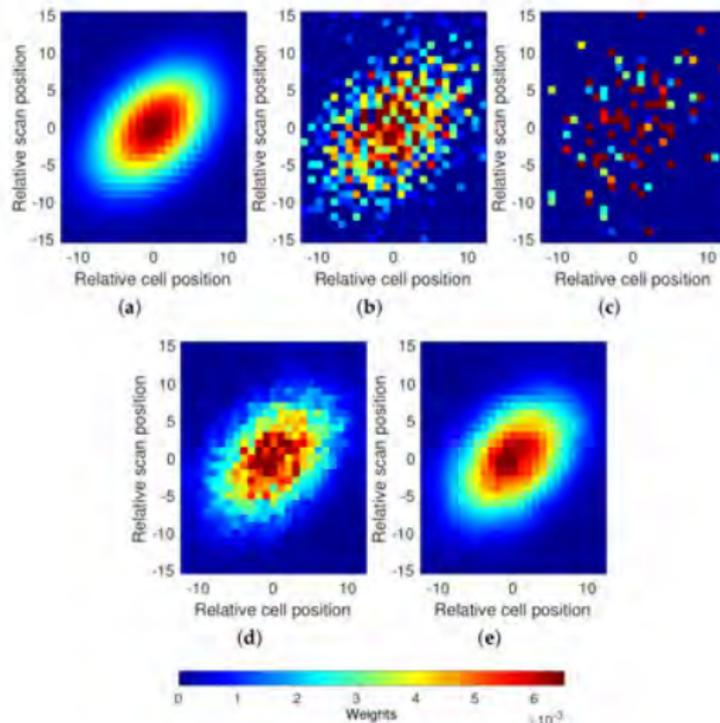
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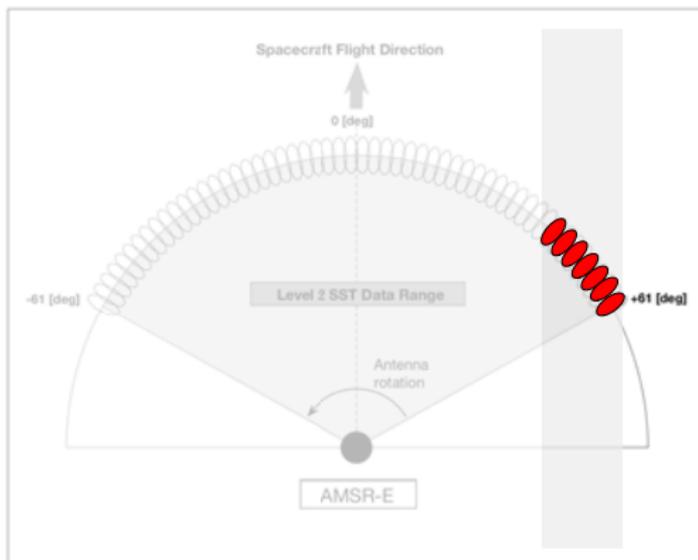
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Footprint by Cell Position

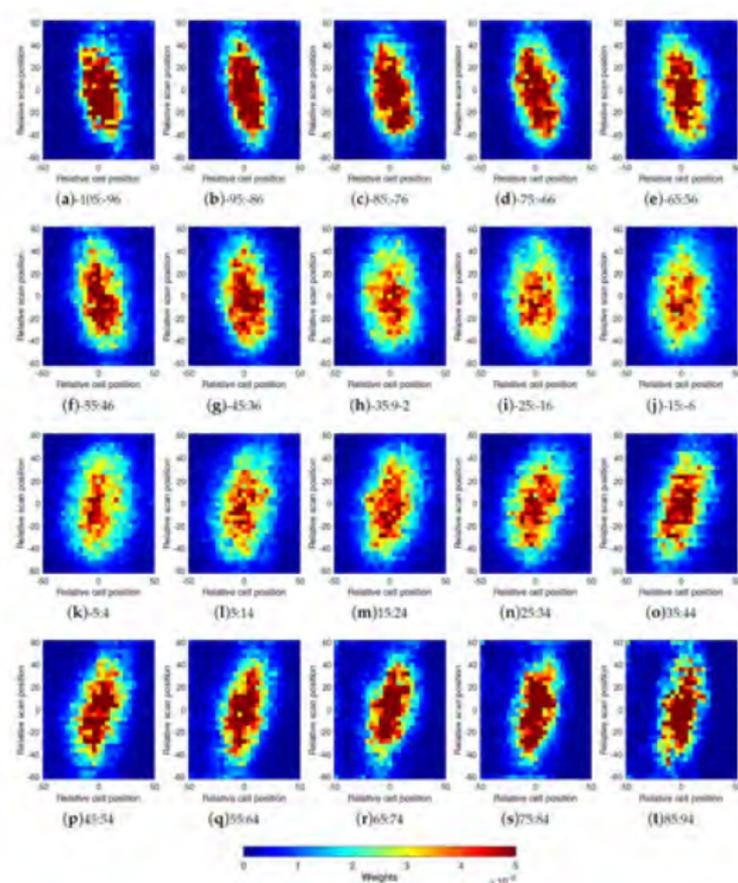
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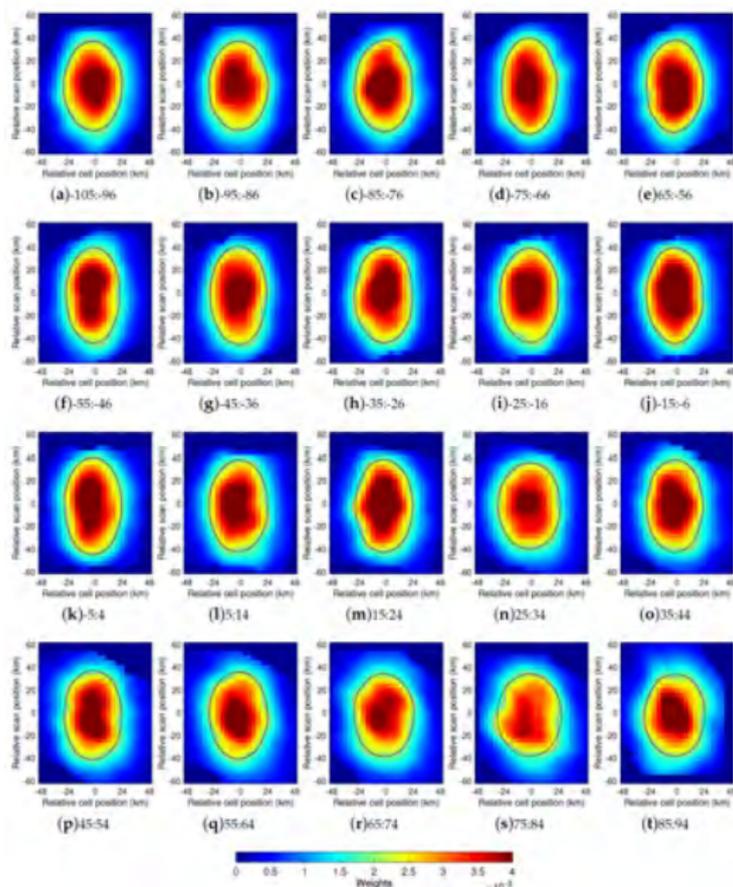
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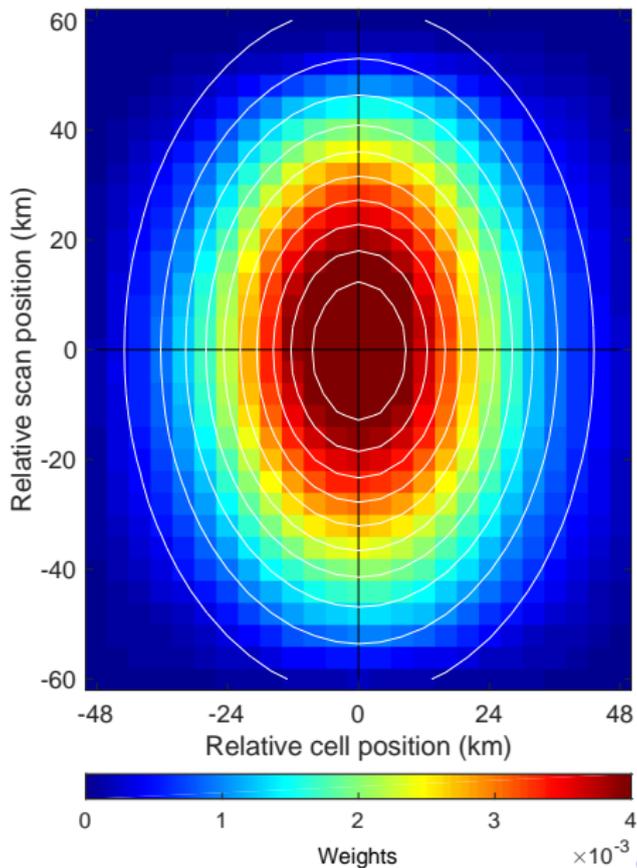
Raw Footprints



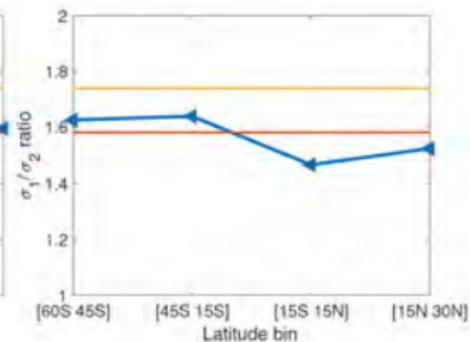
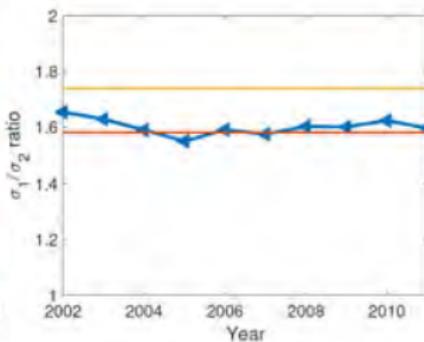
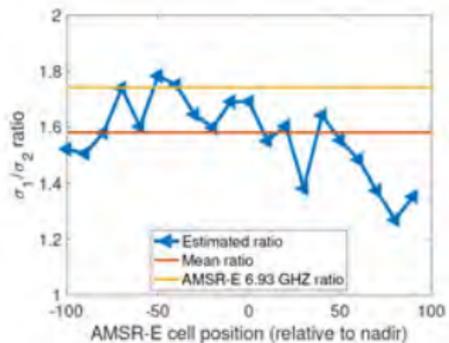
Corrected Footprints



Mean (Reference) Footprint



Footprint as a Function of Cell Position, Year and Latitude



Impact of Footprint on Comparisons with Other Satellite-Derived SSTs

If you average a cloud-free MODIS field to the corresponding AMSR-E field with our footprint you would expect the difference field to be white noise with AMSR-E sigma

YOU DON'T

← Note shouting

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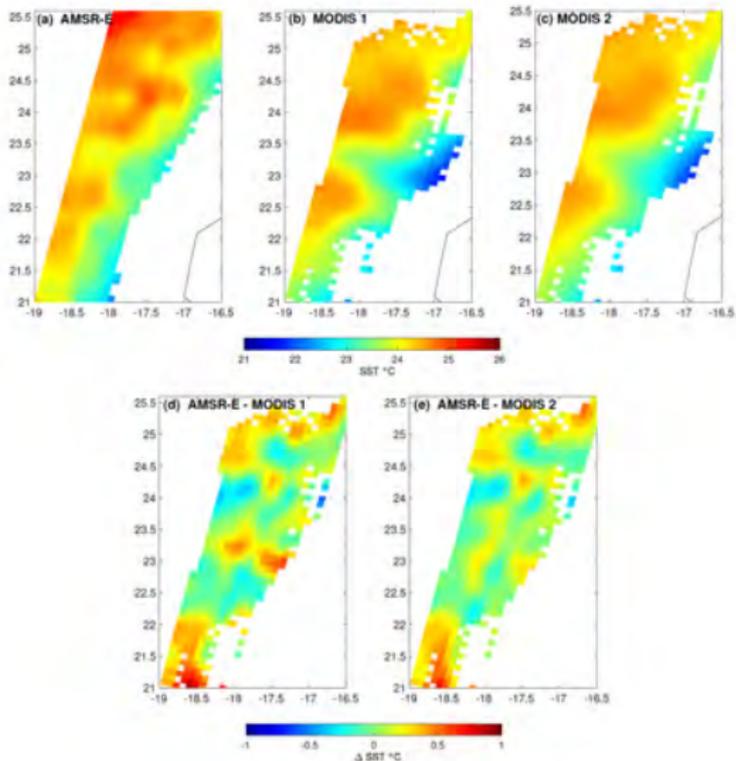
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MODIS-1 - average MODIS to AMSR-E with 56×56 km footprint.
 MODIS-2 - average MODIS to AMSR-E with our footprint.

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The Approaches

- Lagrange Multipliers - a way of constraining the inversion (not shown)
- David Long solution - a more sophisticated constraint
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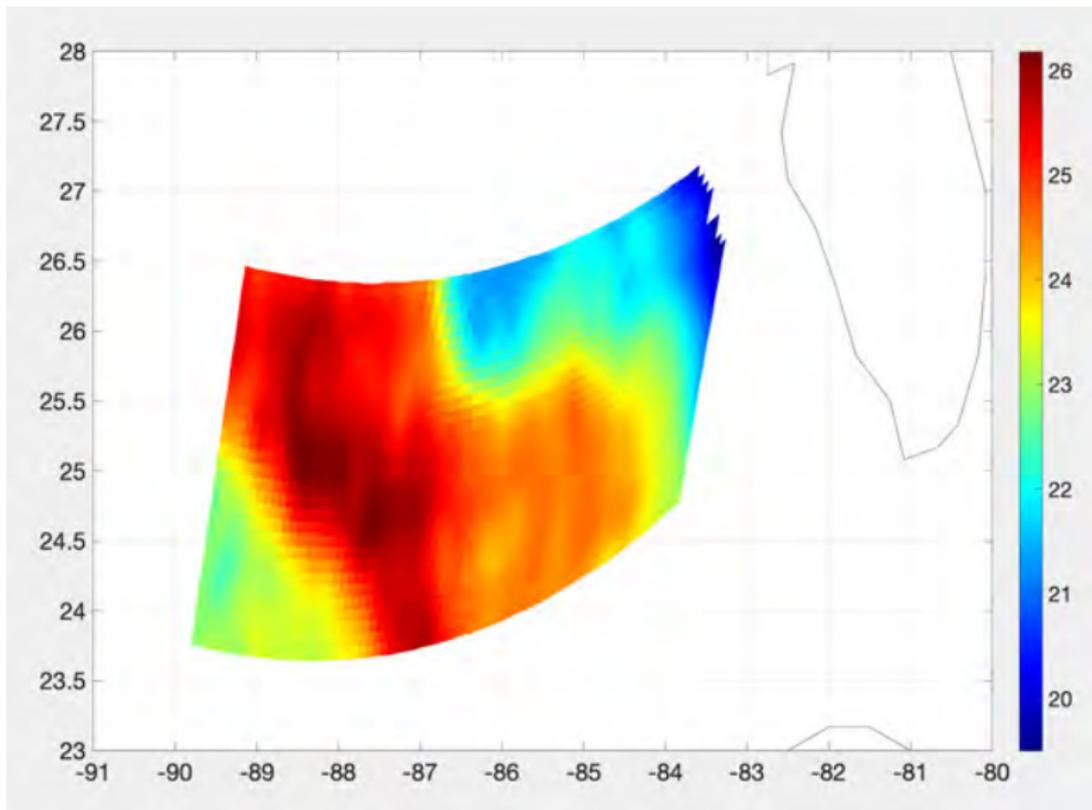
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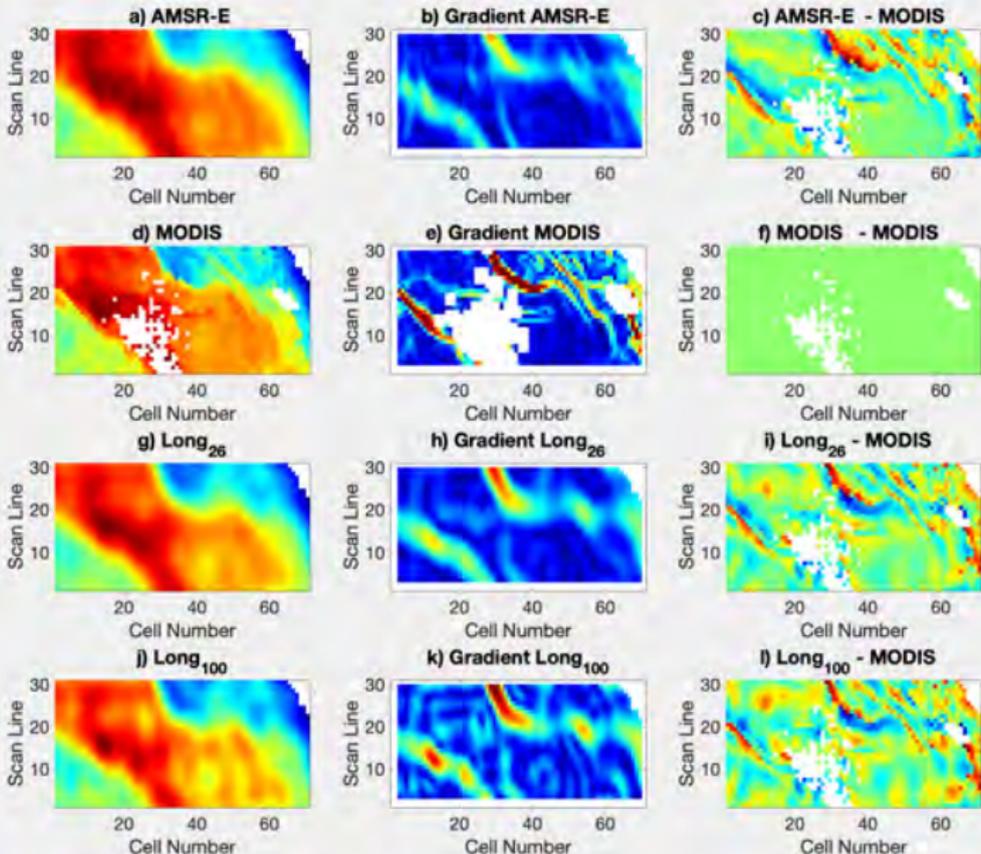
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AMSR-E Test Field



Results – David Long Solution



Artificial Neural Network (ANN)

- 3 layers: 54 node input layer, 10 neuron hidden layer & 1 node output layer.

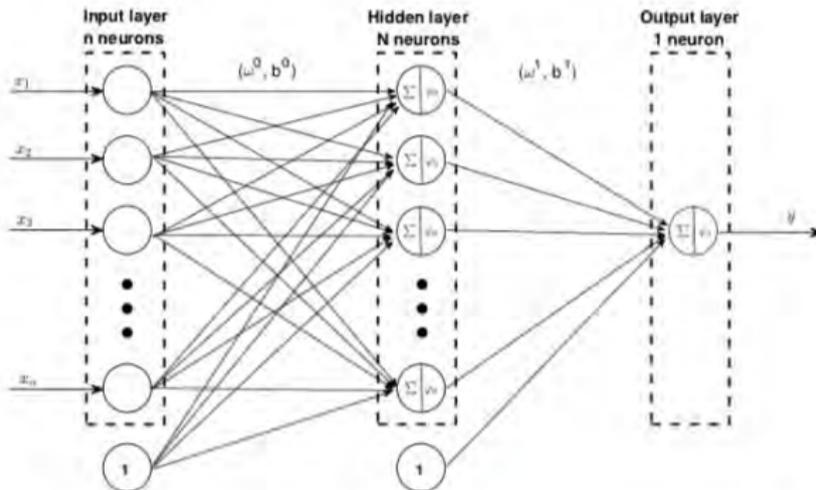
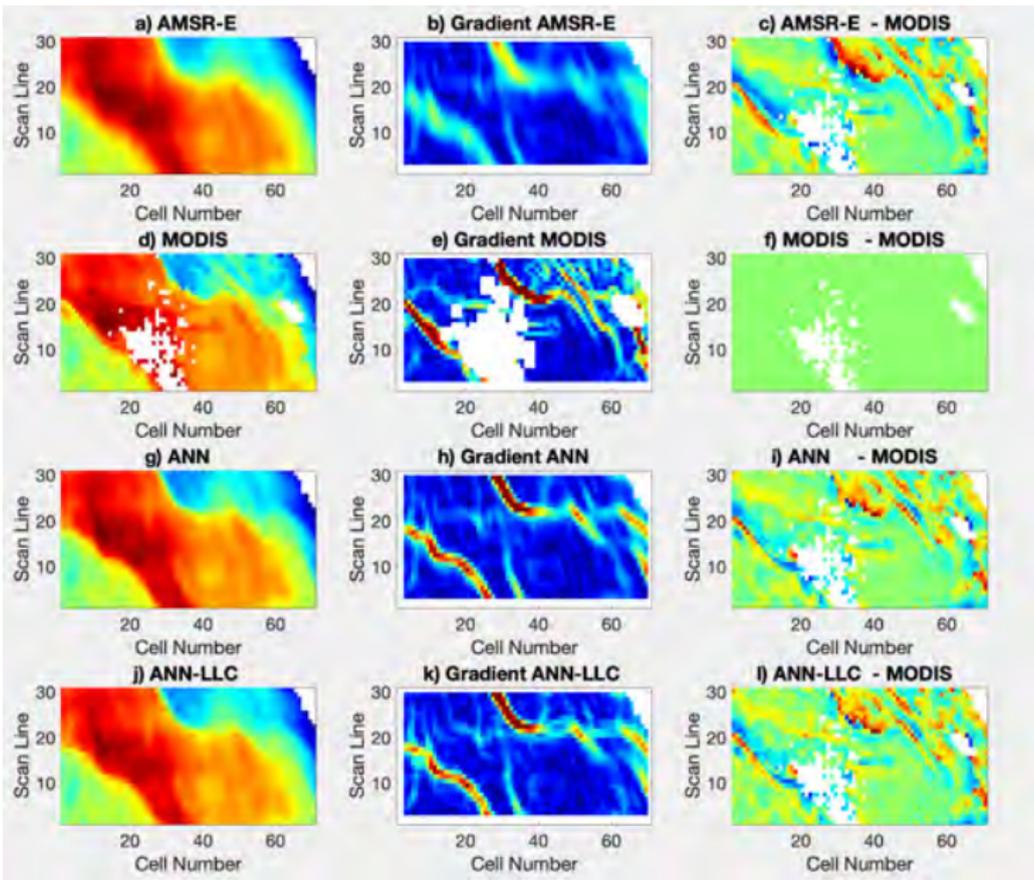


Figure 1. A three-layer Multi-layer perceptron (MLP) feedforward neural network.

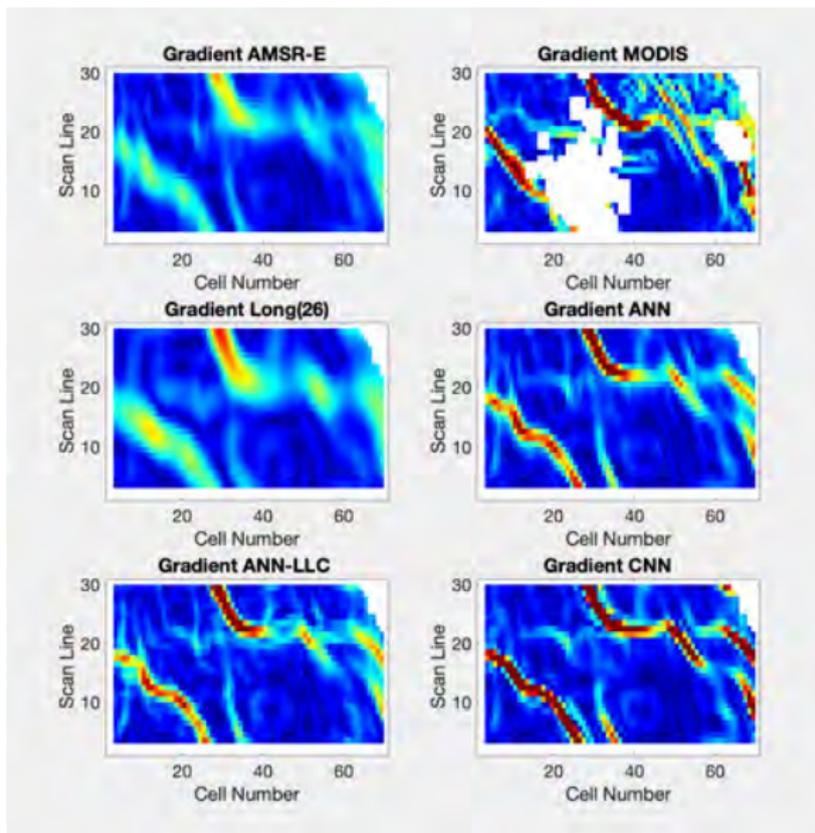
LLC-4320



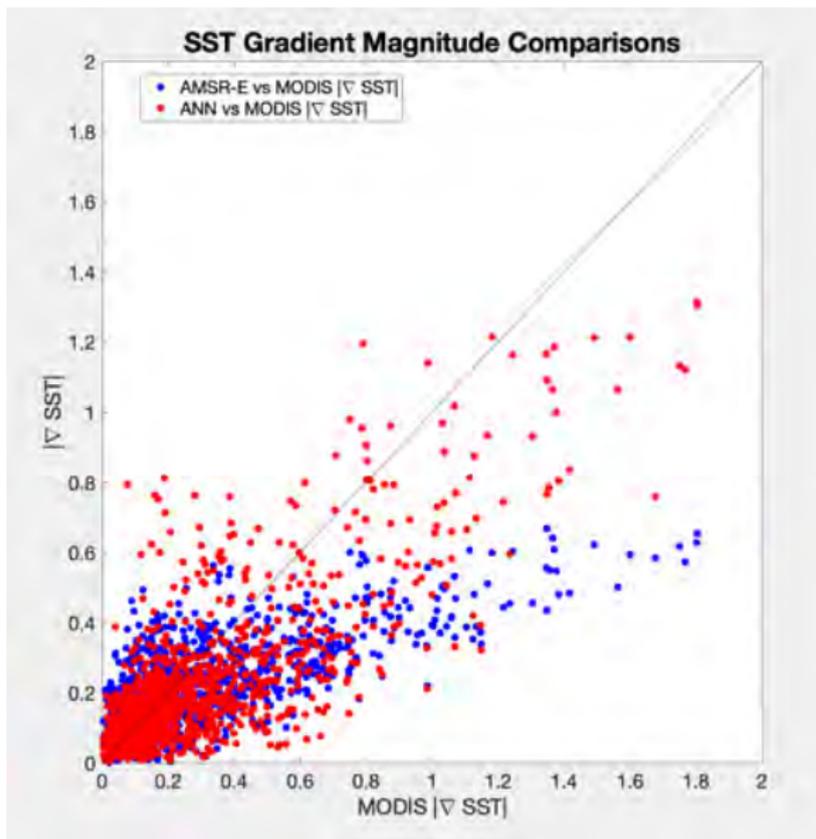
Results – ANN Solution



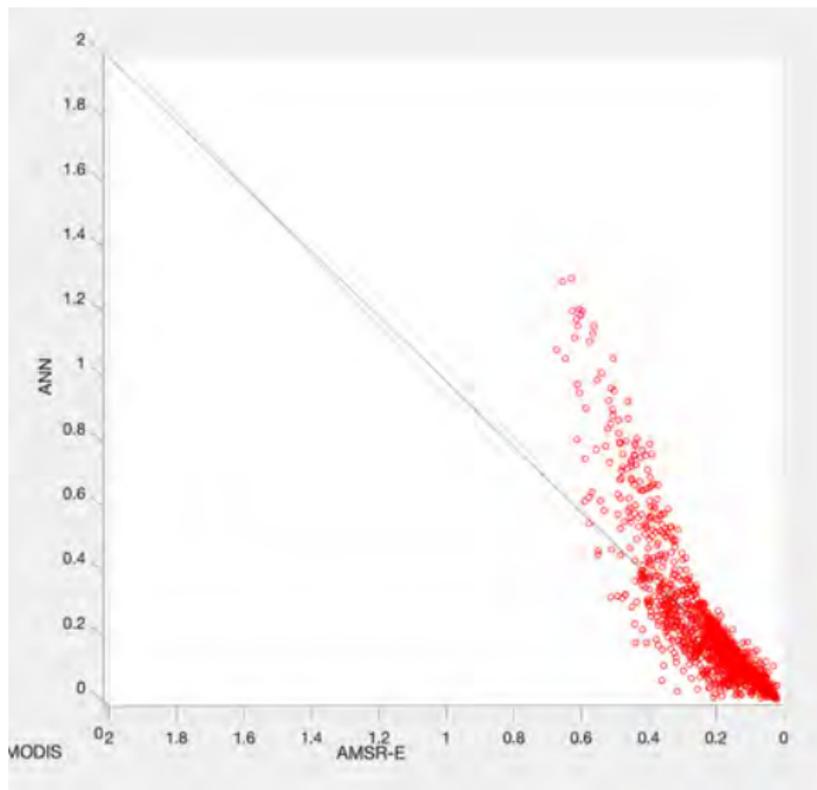
Results – Gradient Fields



What are the neural nets doing?



Results – ANN ∇ SST vs AMSR-E ∇ SST



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- Use of the correct footprint is necessary for comparison with other SST fields.
- Neural Networks show promise in deconvolving the fields – but not there yet.
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 - May benefit from a time series.
 - Training with numerical model output seems to work quite well.
- AMSR-E/MODIS/Model output offers an ideal suite of 'data' with which to explore deconvolution of passive microwave geophysical fields with neural networks.
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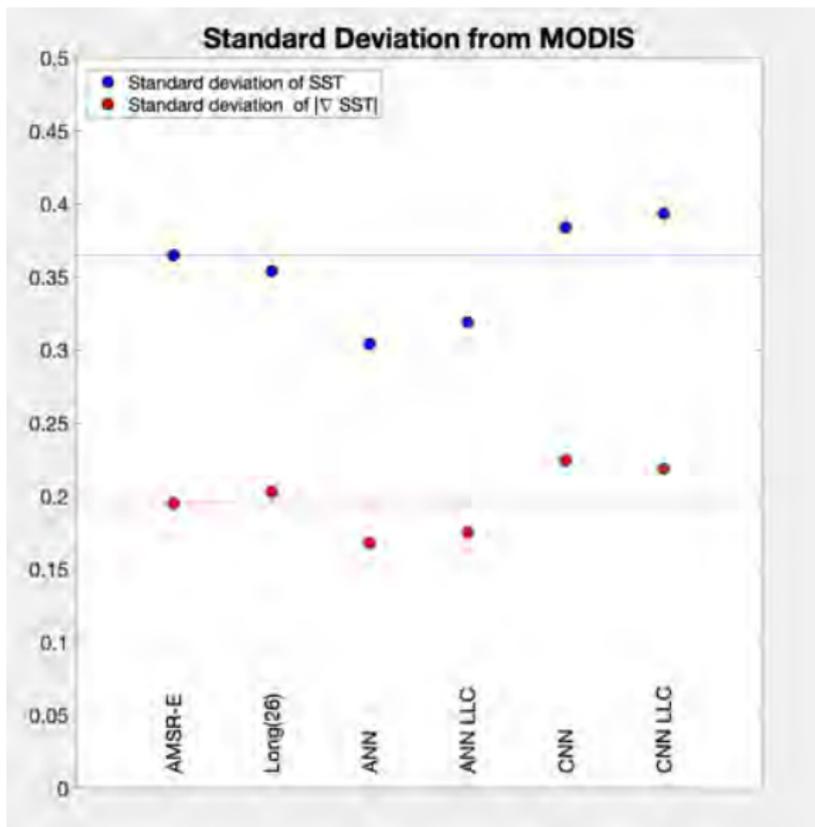
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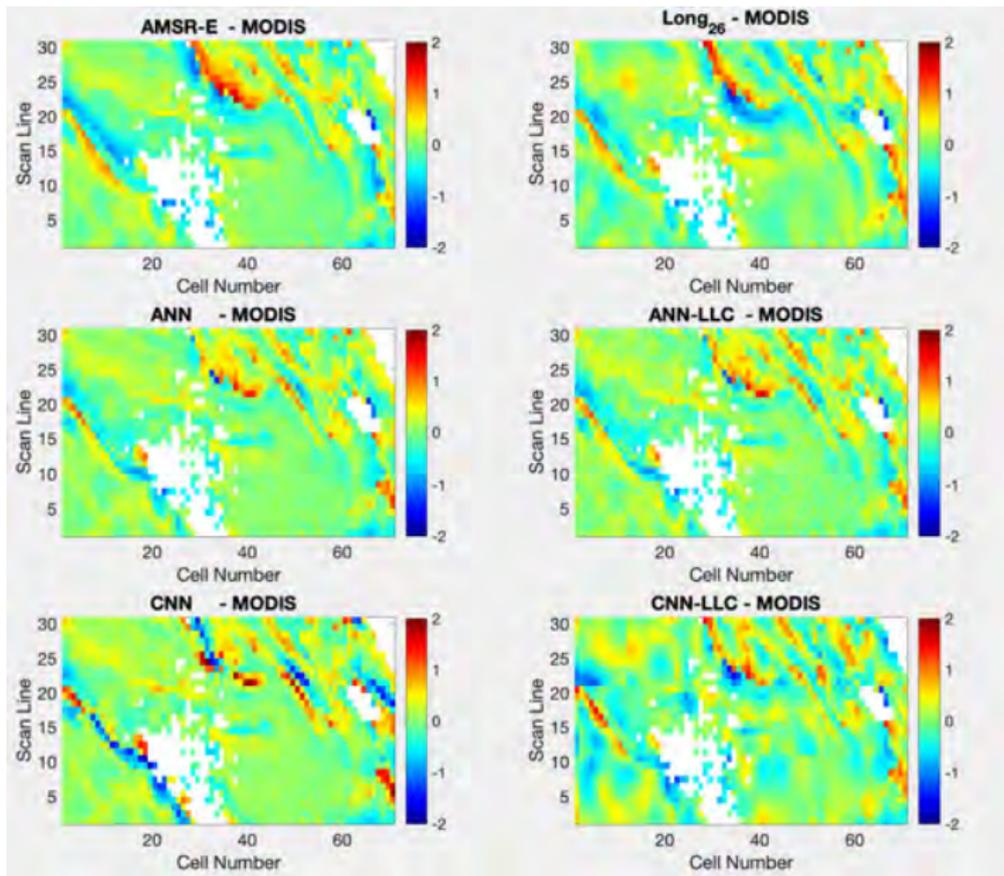
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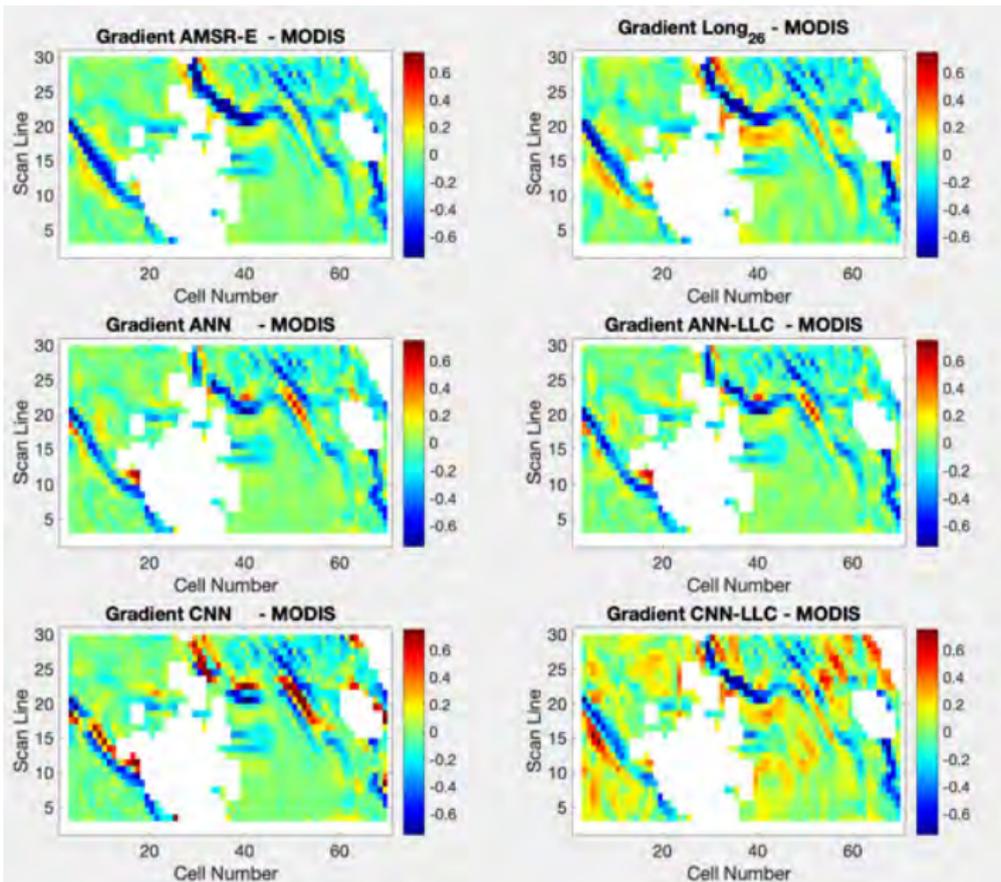
A Figure of Merit



Results – SST Differences



Results – SST Gradient Differences



AMSR-E Observed in 12 Spectral Bands

Table: AMSR-E Spectral Characteristics

Band (GHz)	Polarization	Beam Width (°)	Spatial Resolution (3-dB footprint size) [km x km]	Most sensitive to
6.93	V,H	2.2	75 × 43	SST
10.65	V,H	1.5	51 × 29	SST, wind speed
18.7	V,H	0.8	27 × 16	Columnar water vapor
23.8	V,H	0.9	32 × 18	Columnar water vapor
36.5	V,H	0.4	14 × 8	Columnar liquid water, rain
89.0	V,H	0.2	6 × 4	Rain (Flag)

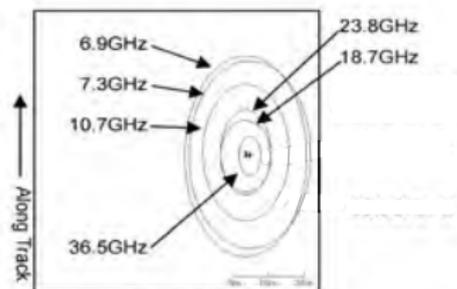
- 6.9 - 36.5 GHz channels mapped to common grid.
- SST, wind speed, water vapor, liquid water & rain rate retrieved simultaneously
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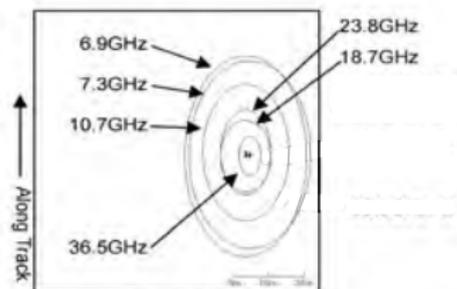


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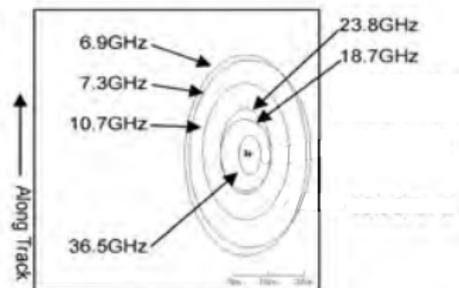


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- 6.9 - 36.5 GHz channels mapped to common grid.
- SST, wind speed, water vapor, liquid water & rain rate retrieved simultaneously
- SST is determined from a combination of brightness temperatures obtained from pixels of differing spatial extent.
- The shape and size of the SST footprint is not obvious.

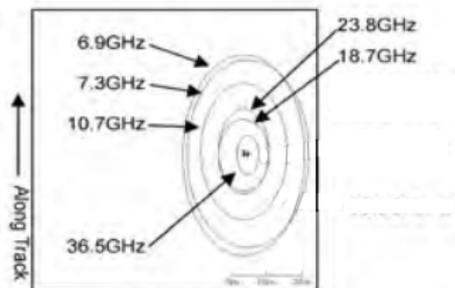


AMSR-E Observed in 12 Spectral Bands

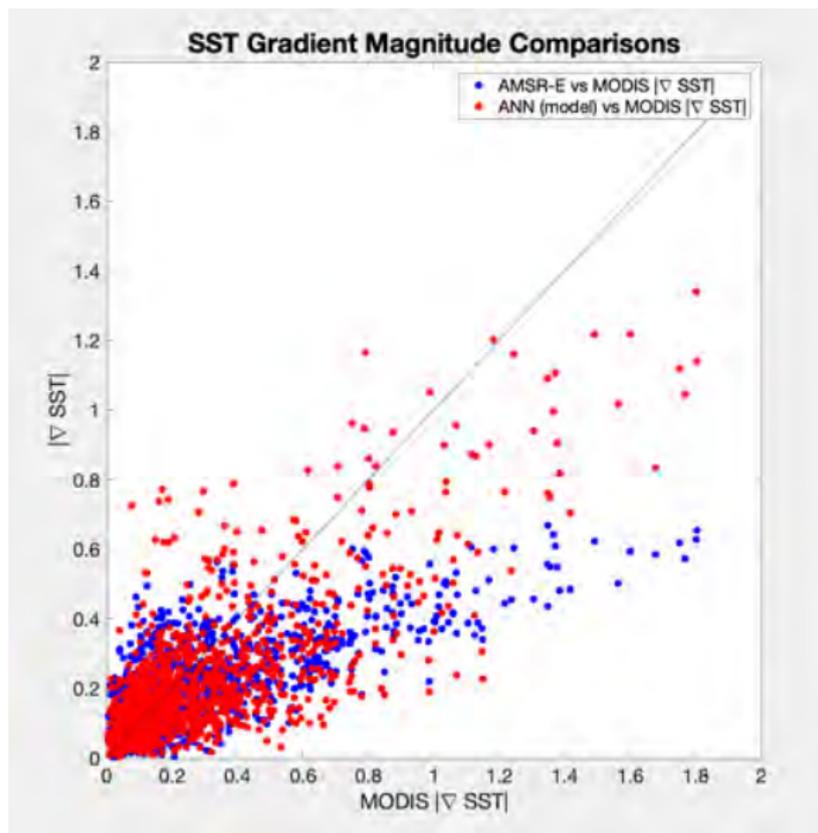
Table: AMSR-E Spectral Characteristics

Band (GHz)	Polarization	Beam Width (°)	Spatial Resolution (3-dB footprint size) [km x km]	Most sensitive to
6.93	V,H	2.2	75 × 43	SST
10.65	V,H	1.5	51 × 29	SST, wind speed
18.7	V,H	0.8	27 × 16	Columnar water vapor
23.8	V,H	0.9	32 × 18	Columnar water vapor
36.5	V,H	0.4	14 × 8	Columnar liquid water, rain
89.0	V,H	0.2	6 × 4	Rain (Flag)

- 6.9 - 36.5 GHz channels mapped to common grid.
- SST, wind speed, water vapor, liquid water & rain rate retrieved simultaneously
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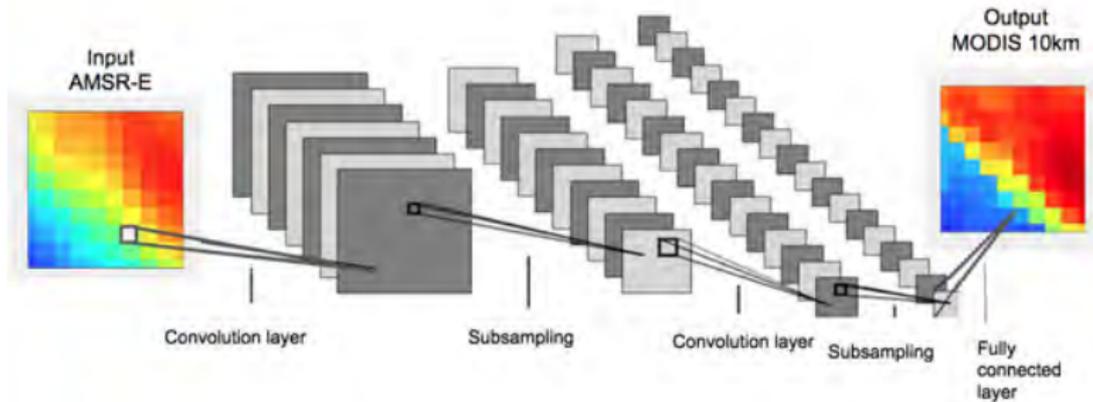


What are the neural nets doing?



Convolutional Neural Network (ANN)

- Input: a 10×10 AMSR-E pixel region and the output is the 10×10 target field minus the AMSR-E field.



Results – CNN Solution

